

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/760,226	01/12/2001	Michael D. Levison	CVC 100

CONFIRMATION NO. 1727

23579  
ARNALL GOLDEN & GREGORY, LLP  
2800 ONE ATLANTIC CENTER  
1201 WEST PEACHTREE STREET  
ATLANTA, GA 30309-3450

## FORMALITIES LETTER



\*OC000000005793679\*

Date Mailed: 02/23/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

Adjustment date: 04/19/2001 JADD01  
04/16/2001 JADD01 00000080 012507  
01 FC:105 130.00 CR

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY

04/16/2001 JADD01 00000080 012507 09760226  
01 FC:105 130.00 CH



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#S

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Michael D. Levison

Serial No.: 09/760,226

Group Art Unit: 3712

Filed: January 12, 2001

Examiner: Not yet assigned

For: *METHOD FOR TARGETING INSURANCE POLICY INCENTIVE REWARDS*

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF NONPROVISIONAL APPLICATION  
AND REQUEST FOR CORRECTED FILING RECEIPT**

Sir:

Responsive to the Notice to File Missing Parts of Nonprovisional Application, mailed February 23, 2001, applicant encloses copies of the following filed February 20, 2001, in the above-identified application:

1. Transmittal of Declaration for Patent Application, Power of Attorney or Authorization of Agent, and Statement Under 37 C.F.R. § 3.73(b) with Certificate of Mailing Under 37 C.F.R. § 1.8(a);
2. Declaration for Patent Application executed by Michael D. Levison;
3. Power of Attorney or Authorization of Agent executed by Coverdell & Company;



4. Statement Under 37 C.F.R. § 3.73(b) executed by Coverdell & Company, with attached copy of the executed Assignment from Michael D. Levison to Coverdell & Company; and

5. Check in the amount of \$130.00 for the large entity surcharge for late filing the Declaration for Patent Application.

Applicant also encloses a copy of the Notice to File Missing Parts of Nonprovisional Application, mailed February 23, 2001.

Applicant did not receive the Notice to File Missing Parts of Nonprovisional Application, or the official Filing Receipt, until March 1, 2001.

No fees are due at this time. Applicant paid the \$130.00 large entity surcharge for late filing the Declaration for Patent Application on February 20, 2001. However, should any fee be due, please charge such fee, or credit any overpayment in connection with this matter, to Deposit Account No. 01-2507. A duplicate of this transmittal is enclosed to facilitate this process.

#### **Request for Corrected Filing Receipt**

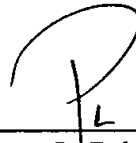
Applicant respectfully requests a corrected official Filing Receipt to correct the title of the application. The correct title of the application is "***Method for Targeting Insurance Policy Incentive Rewards***", as noted on the enclosed executed Declaration for Patent Application, and on the Utility Patent Application Transmittal, Form PTO/SB/05, which was filed with the new

The PTO did not receive the following  
listed item(s) a check for \$130.00

U.S.S.N.: 09/760,226  
Filed: January 12, 2001  
**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION AND  
REQUEST FOR CORRECTED FILING RECEIPT**

application on January 12, 2001. Applicant also encloses a copy of the official Filing Receipt with the correction noted in red.

Respectfully submitted,



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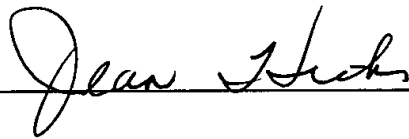
Patrea L. Pabst  
Reg. No. 31,284

Date: April 10, 2001

ARNALL GOLDEN GREGORY LLP  
2800 One Atlantic Center  
1201 West Peachtree Street  
Atlanta, Georgia 30309-3450  
404-873-8794  
404-873-8795 (fax)

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)**

I hereby certify that this Response to Notice to File Missing Parts of Nonprovisional Application and Request for Corrected Filing Receipt, together with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231.

  
\_\_\_\_\_

Date: April 10, 2001



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/760,226	01/12/2001	3712	1262	CVC 100	6	24	9

CONFIRMATION NO. 1727

23579  
ARNALL GOLDEN & GREGORY, LLP  
2800 ONE ATLANTIC CENTER  
1201 WEST PEACHTREE STREET  
ATLANTA, GA 30309-3450

## FILING RECEIPT



\*OC000000005793678\*

Date Mailed: 02/23/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Michael D. Levison, Atlanta, GA;

## Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/175,748 01/12/2000

## Foreign Applications

If Required, Foreign Filing License Granted 02/21/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

## Title

Method and system for providing insurance policy incentive rewards

*for Targeting*

## Preliminary Class

434

Docketed for \_\_\_\_\_

By: *QJ8*Date: *3-14-01*

RECEIVED

MAR 01 2001

PATENT DEPT.



Pat entry by : FESSEHAYE, ASTER

Team : OIPE

Date: 02/23/2001





**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:



Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231